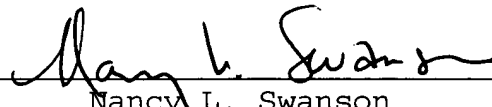




SECTOR
V#

PATENT
U.S. Patent Application No. 09/875,440
Atty. Docket No. PP-01701.002

I hereby certify that this paper is being deposited in the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231-0001, Attn: Box Missing Parts, on August 20, 2001.



Nancy L. Swanson

8/20/01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHRISTOPH REINHARD et al.

Serial No.: 09/875,440

Group Art: 1653

Filed: June 5, 2001

Examiner: Unassigned

For: COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC
DISEASE USING NET-4 MODULATORS

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF APPLICATION --
FILE DATE GRANTED**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

In response to the "Notice to File Missing Parts of Application -- Filing Date Granted", mailed from the United States Patent and Trademark Office on July 20, 2001, enclosed herewith are the following documents:

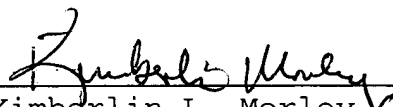
1. COPY: Notice to File Missing Parts

2. Check in the amount of \$894.00 covering the basic filing fee (\$710.00), additional claim fees (\$54.00) and late filing fees (\$130.00).
3. SIGNED: Declaration for Patent Application (4 original Declarations signed by inventors)
4. Certificate Under 37 C.F.R. §3.73(b) with copy of Assignment Letter and Assignment attached thereto
5. Power of Attorney for Patent Application
6. Blanket Petition for Extension of Time and Authorization to Charge/Credit Deposit Account.

The Assistant Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR 1.16 and 1.17 to Deposit Account No. 03-1664. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

By:



Kimberlin L. Morley
Attorney for Applicants
Reg. No. 35,391

Date: August 20, 2001

CHIRON CORPORATION
Intellectual Property-R440
4560 Horton Street
Emeryville, CA 94608-2916
Telephone: (510) 923-8406
Facsimile: (510) 655-3542



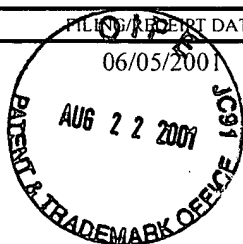
UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/875,440	06/05/2001	Christoph Reinhard	PP-01701.00 / 200130.522

Chiron Corporation
Intellectual Property R338
P.O. Box 8097
Emeryville, CA 94662-8097



JUL 2001
Received
Chiron Corporation
Intellectual Property

CONFIRMATION NO. 5034

FORMALITIES LETTER



OC000000006326772

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 894.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

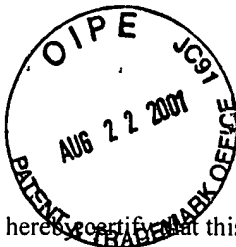
PART I - ATTORNEY/APPLICANT COPY

COPY

08/24/2001 MBERHE 00000054 09875440

01 FC:101
02 FC:105
03 FC:103

710.00 OP
130.00 OP
54.00 OP



PATENT
U.S. Application Serial No. 09/875,440
Attorney Docket No. PP-01701.002

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed: Assistant Commissioner of Patents, Washington, D.C. 20231-0001, Attn: Box Missing Parts, on August 20, 2001.

Nancy L. Swanson
Nancy L. Swanson

8/20/01
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of CHRISTOPH REINHARD et al.

Serial No.: 09/875,440

Group: 1653

Filed: June 5, 2001

Examiner: Unassigned

Title: COMPOSITIONS AND METHODS FOR TREATING
NEOPLASTIC DISEASE USING NET-4 MODULATORS

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CHIRON CORPORATION

(Name of Assignee)

, a

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above.
The patent application identified above claims the benefit of Provisional Application No. 60/209,865, filed June 7, 2000, wherein an Assignment was recorded in the Patent and Trademark Office on October 16, 2000 at Reel 011189, Frame 0236, for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

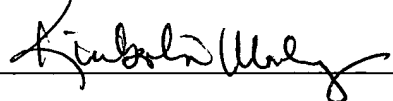
[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

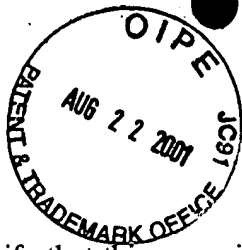
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: _____ August 20, 2001

Name: _____ Kimberlin L. Morley

Title: _____ Assistant Secretary, CHIRON CORPORATION

Signature: _____ 



11-02-2000

10/16/00



101503643

PATENT

onal Application No. 60/209,865

...orney Docket No. PP-01701.001

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231-0001, Attn: Box Assignments, on October 11, 2000.

Nancy L. Swanson

October 11, 2000
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: REINHARD et al.

Serial No.: 60/209,865

Group Art: Unassigned

Filed : June 7, 2000

Examiner: Unassigned

For : COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC
DISEASE USING NET-4 MODULATORS

ASSIGNMENT DOCUMENT COVER LETTER

Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X No other patents or applications are covered by the assignment.

— Other patents and/or applications are covered by the assignment as shown
on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED
BY ASSIGNMENT.

1/01/2000 FAXED1 00000108 60209865

1 FC:581

40.00 00



PATENT

U.S. Provisional Application No. 60/209,865

Attorney Docket No. PP-01701.001

NAMES OF PARTY MAKING TRANSFER

2. Name 1: Christoph J. Reinhard
Name 2: Anne B. Jefferson
Name 3: Jill A. Winter
Name 4: Filippo Randazzo

IDENTITY OF PARTY TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94608-8097
United States of America

INTENTION OF DOCUMENT

4. The accompanying document is an:

☒ Assignment ☐ License
☐ Security Interest ☐ Other

☒ Execution Dates: October 6, 2000, October 6, 2000, October 10, 2000
and October 6, 2000

FEE PAYMENT

5. Total fee due \$40.00

6. ☐ Charge Deposit Account No. 03-1664 in the sum of \$40.00.

☒ Enclosed is a check for \$40.00.

X The Assistant Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 03-1664. THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL
BY PTO**

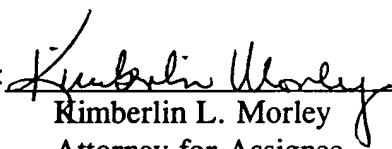
7. Please return the recorded document to:

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
United States of America

STATEMENT BY THE PARTY

8. I hereby submit that, to the best of my knowledge and belief, the foregoing information contained herein is true and correct and any copy submitted herewith is a true and correct copy of the original document.

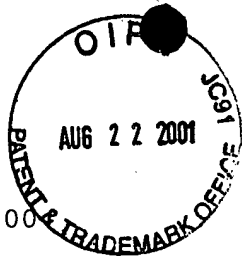
Respectfully submitted,

By: 
Kimberlin L. Morley
Attorney for Assignee
Reg. No. 35,391

Dated: October 11, 2000

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
TEL: (510) 923-8406
FAX: (510) 655-3542

Total number of pages comprising cover sheet: 8 (Assignment Cover Letter plus Original Assignment)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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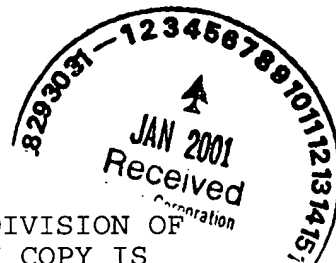
DECEMBER 27, 2000

PTAS

CHIRON CORPORATION
KIMBERLIN L. MORLEY
INTELLECTUAL PROPERTY - R440
P.O. BOX 8097
EMERYVILLE, CA 94662-8097



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT



THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/16/2000

REEL/FRAME: 011189/0236

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

REINHARD, CHRISTOPH J.

DOC DATE: 10/06/2000

ASSIGNOR:

JEFFERSON, ANNE B.

DOC DATE: 10/06/2000

ASSIGNOR:

WINTER, JILL A.

DOC DATE: 10/10/2000

ASSIGNOR:

RANDAZZO, FILIPPO

DOC DATE: 10/06/2000

ASSIGNEE:

CHIRON CORPORATION
INTELLECTUAL PROPERTY - R440
P.O. BOX 8097
EMERYVILLE, CALIFORNIA 94608-8097

SERIAL NUMBER: 60209865

FILING DATE: 06/07/2000

PATENT NUMBER:

ISSUE DATE:

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011189/0236 PAGE 2

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